FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494816-000

Total Deleted Page(s) = 112
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FD-340 (Rev. 4-2-85)

**Field File No.** _____

**Serial # of Originating Document** _____

**OO and File No.** NY 2554 - 10 1A13

[redacted]

b6
b7C
b7D

By [redacted] ...y and State)

...(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Tit[redacted] Unauthorized

Counterfeit Fuji Bank CDs; CSCS; OO: NY

b6
b7C

Reference: _____
(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

_____

10/15/87 [redacted]
2/2/88 [redacted]
4/25/88 [redacted]

b6
b7C

**Complaint Form**
FD-71 (Rev. 4-16-82)

NOTE: Hand print names legibly; handwriting satisfactory for remainder.
**Indices:** ☐ Negative ☐ See below

| Subject's name and aliases | Character of case |
|---|---|
| UNSUBS. aka [redacted] (FNU) [redacted] Possible Counterfeiting of Fuji Bank Ltd. CD's, | CSCS; FBW |
| | [redacted] |
| | Complaint received ☐ Personal ☒ Telephonic Date 7/24/87 Time 3:30p. |
| Address of subject | Complainant's address and telephone number [redacted] |

b6
b7C
b7D

| Subject's Description | Race | Sex ☐ Male ☐ Female | Height | Hair | Build | Birth date and Birthplace |
|---|---|---|---|---|---|---|
| | Age | | Weight | Eyes | Complexion | Social Security Number |
| | Scars, marks or other data | | | | | |

Facts of complaint
c/w advised [redacted]

b6
b7C
b7D

OPEN
ORIGI [redacted]
SUPV [redacted]
CASE 255
DATE 7/28/87
SECT C-3

ROTOR, OTA 255A - PACK

SERIALIZ [redacted]
JUL 27 1987
BLOCK STAMP

b6
b7C

7/27/87

FD-340a (Rev. 10-3-77)

(Title) _____

(File No.) 255A-10-1A Supp

| Item | Date Filed | To be returned Yes | To be returned No | | Disposition |
|---|---|---|---|---|---|
| 1A1 | 9/3/87 | | X | Orig notes of [redacted] | |
| 1A2 | 9/6/87 | | / | " " of [redacted] | |
| 1A3 | " | | / | " " [redacted] | |
| 1A4 | " | | / | [redacted] | |
| 1A5 | 3/24/88 | | / | Orig. notes re: [redacted] | |
| 1A6 | " | | / | [redacted] | |
| 1A7 | 4/26 | | / | [redacted] | |
| | | | | [redacted] | |
| 1A8 | 4/26/88 | | / | Orig. notes re: [redacted] | |
| | | | | [redacted] | |
| 1A9 | 5-2-88 | | / | Orig. notes re: [redacted] | |
| 1A10 | 5-2-88 | | / | Orig. form 472 consent form to monitor telephone | |
| 1A11 | " | | / | Orig. notes re [redacted] | |
| 1A12 | " | | / | Investigation Notes | |
| 1A13 | " | | / | Invoices and [redacted] | |
| | | | | Counterfeit Pay, Comm CD's, Cash | |

b6
b7C
b7D

b6
b7C
b7D

b6
b7C
b7D

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

AUG 24 1987

FBI NEW YO [redacted]

b6
b7C

FD-340b (Rev. 4-2-85)

**Field File No.** _____

**Serial # of Originating Document** _____

**OO and File No.** NY255A-10-1A1

**Date Received** 8-24-87

**From** FBI, NYO
(NAME OF CONTRIBUTOR)

_____
(ADDRESS OF CONTRIBUTOR)

_____

**By** [redacted]

b6
b7C

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure
☐ Yes ☒ No

Title: WNSUB.S dla

[redacted]

b6
b7C

Reference: _____
(Communication Enclosing Material)

**Description:** ☒ Original notes re interview of [redacted]

b6
b7C

Fuji BK case.

8-24-87

THIS SLIP FROM FILE
255-10-1A1

From beg. of august, another kd of scam,

8/12-14/87 rec'd call from [redacted]

b6
b7C

- transactn involv' LC or for. exch. trans. to convert yen to $ Didn't make sense to Fuji Bank.

Obvious that are trying to liquidate some sort of Jap. paper. (savings acct. certs) No such thgs in Japan. Have copies (drawn on Daiichi - Kangyo Bank)

? on why sent to them & not DKB.

Want Fuji BK to liquidate certs worth ≅ 70 m US $

Obviously false - for one thg no authorg sig or name. Gen Mgr or Pres & unusual to say spec. deposit.

DKB - verified false

Also rec'd fax of anothg - saying Check like bank Fuji BK Ltd. No name of Gen Mgr or Pres; no name of payee 3 bil. yen

Last heard from 8/20/87

Sd so far can't verify / no other mess. / will let know re fraud.

Papers sent fr. FB Ltd Head Office in resp to communications from her.

≡ 5 yrs ago 9 or 10/82 – Criminal grp. were present forged drafts of FB Ltd J's to banks in Japan.
Rept'd to police in Tokyo
10/82 – 4 men arrested. – fraud J's very similar.

12/85 – J presented to FB Ltd for pymt pres – by Amer. Asian BK. Same as J in fax from [redacted] b6 b7C
Only diff is 12/85 J showed payee – [redacted] Rept'd to police & didn't pay on it.

Beg 1986 – inquiry fr. [redacted] b6 b7C in Los Angeles Br.

4/86 [redacted] b6 b7C b7D

On 1/31/86, Jap-news art - re Jap trying fraud for ≅ 3 million yen. 3 men arrested by FBI. (1) [redacted] (2) [redacted] (3) [redacted] b6 b7C

[redacted] sting operations

~~UCA's Dep have plan for~~

Jap Incas. note ✓ from DRB shown to UCA (investor) as part of plan for Constructn of hotel in Las Vegas.

FD-340 (Rev. 4-2-85)

**Field File No.** 255A-1-1A1

**Serial # of Originating Document** _____

**OO and File No.** NY 255A-10-1A2

**Date Received** 11/9/87

**From** SA [redacted] b6 b7C

(Name of Contributor)

FBI

(Address of Contributor)

AQ, NM

(City and State)

**By** [redacted] b6 b7C

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e),Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title:

Reference: _____

(Communication Enclosing Material)

**Description:** ☒ Original notes re interview of [redacted] b6 b7C b7D

FD-340 (Rev. 4-2-85)

**Field File No.** AQ255A-1-1A3

**Serial # of Originating Document** _____

**OO and File No.** NY 255A-10-1A3

**Date Received** 11/27/87

**From** SA [redacted] b6 b7C

(Name of Contributor)

FBI

(Address of Contributor)

AQ, NM

(City and State)

**By** SA [redacted] b6 b7C

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e),Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title:

Reference: _____

(Communication Enclosing Material)

**Description:** ☒ Original notes re interview of

[redacted] b6 b7C b7D

FD-340 (Rev. 4-2-85)

**Field File No.** AQ 255A-1-1A2

**Serial # of Originating Document** _____

**OO and File No.** NY 255A-10 - 1A4

**Date Received** 11/27/87

**From** [redacted]

[redacted]r)

(Address of Contributor)

[redacted]

(City and State)

**By** SA [redacted]

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No  Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e),Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title:

Reference: _____

(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

[redacted]

b6
b7C
b7D

b6
b7C

b6
b7C
b7D

[redacted] b6 b7C

3/16/88

FD-340 (Rev. 4-2-85)

**Field File No.** 255A-5

**Serial # of Originating Document**

**OO and File No.** NY 255A-10 1A5

**Date Received** 10/23/87

**From** [redacted] b6 b7C b7D

[redacted]

(Address of Contributor)

[redacted]

(City and State)

**By** [redacted]

(Name of Special Agent)

To Be Returned ☐ Yes ☐ No Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☐ No

Title: UNSUBS; AKA [redacted] ET AL b6 b7C

CSCS; FBW;

OO: NY

Reference: _____

(Communication Enclosing Material)

**Description:** ☑ Original notes re interview of

[redacted] b6 b7C b7D

R C-3

P
3/16/88

[redacted]

b6
b7C

FD-340 (Rev. 4-2-85)

**Field File No.** 255A-5

**Serial # of Originating Document** _____

**OO and File No.** NY-255A-10 106

**Date Received** 11/6/85

**From** [redacted]
(Name of Contributor)

b6
b7C
b7D

Unlimited Investments
(Address of Contributor)

Orange CA
(City and State)

**By** [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☐ No

Title: UNSUBS; AKA
[redacted] ET AL
CSCS; FBW
OO: NY

b6
b7C

Reference: _____
(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

[redacted]

b7D

FD-340 (Rev. 4-2-85)

**Field File No.** _____

**Serial # of Originating Document** _____

**OO and File No.** NY 255 A-10 [redacted]

**Date Received** 4/25/88

**From** Operations Center
(Name of Contributor)

_____
(Address of Contributor)

[redacted] State)

**By** [redacted] cial Agent)

To Be Returned ☐ Yes ☑ No Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☑ No

**Title:** [redacted]

CSCS;
OO: NY

**Reference:** _____
(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

Computer Printout of Subjects Criminal History

[redacted]

b6
b7C

b6
b7C

b6
b7C

Record Request
FD-125 (Rev. 1-30-75)

NCIC
III
NYCPD

Date 4/20/88

☐ Birth ☐ Credit ☒ Criminal ☐ Death ☐ INS ☐ Marriage * ☐ Motor Vehicle ☐ Other _____
☐ Driver's License

To Operations Center (26) | Buded

Return to G.A. Pack C-3 | File number 255A-10

Name and aliases of subject, applicant, or employee, and spouse

[redacted] b6 b7C

Addresses

Residence _____

Business _____

Former _____

* Date and place of marriage (if applicable) _____

[redacted] b6 b7C

Arrest Number | Fingerprint classification | Criminal specialty 133-40-1085

Specific information desired | Social Security Number

Results of check

(Use reverse side, if necessary)

FD-340 (Rev. 4-2-85)

**Field File No.** LA 255-5

**Serial # of Originating Document**

**OO and File No.** [redacted] NY 255-10 IAS

**Date Received** 3/31/88

**From** [redacted] b6 b7C

(Name of Contributor)

[redacted]

(Address of Contributor)

[redacted]

(City and State)

**By** [redacted]

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

**Title:** UNSUBS, aka [redacted] b6 b7C

POSSIBLE COUNTERFEITING OF FUJI BANK LIMITED CERTIFICATES OF DEPOSIT; CSCS; FBW

**Reference:**

(Communication Enclosing Material)

**Description:** ☒ Original notes re interview of

[redacted] b6 b7C

wasted alot of time
no longer do business because transaction never turned out to be valid couldn't consummate
never materialize.

do not do business with these people because their background
track record not
do not know anything

contacted JI [redacted] → Broker GALLEON Investments
old residence [redacted]
through contacts in Europe [redacted]
called approached in billions in bonds.
spoke with people at commodity houses no buyers/ dropped

Bring numbers projects vast array of financial instruments

b6
b7C

contacted [redacted] [redacted] → [redacted] associate [redacted]

b6
b7C

[redacted] you contacted → [redacted] [redacted]

b6
b7C

TRUST MARK BANK CEO-ENV SINGLETARY
JACKSON MISSISSIPPI — going to be a buyer through [redacted]
never spoke with him.

NO CONNECTION

b6
b7C

number contacted [redacted]
Shearson / American Express → [redacted]
- commercial Trading Repit
1st American Bank involved through [redacted]
Columbus, Ohio [redacted] brought
New York
[redacted]

b6
b7C

spoke with someone at
EF Hutton.
Prudential Bache

bonds were never verified
made initial contacts to see if any interest and set up outline
if anyone would have breached deal would not materialize.

[redacted] b6 b7C

[redacted]

DOB-

POB

SSN

Ht

Ht R

Weight

E-Yes

Arrested-

b6 b7C

never been involved with FVG1 Bank certificate of Deposits

- Broker / Commodity's - metals oil currency

never offer to broker to sell CD's

never asked to move certificate of deposits

have been involved with Japanese Bonds

issued by Japanese Bank - top 5

never had any bonds never seen bonds / never ever seen copy of bonds don't believe

approached by business associates - cop,

were supposed to be verified through a affiliate BK in the

U.S of DIAICKI KOUGO BANK. but were never verified.

when bonds never verified this part transaction finished

+ never arrested / indicted for financial crime
+ do not feel ~~on~~ its relative to him
+
+ never been convicted
+ if not convicted its inelevant.

attempt to find interested party.

I never called anyone and said I have these bonds to sell I contacted brokerage firms to determine if there was any interest in purchasing the bonds through someone I knew.
no interest by buyers.

acted as go between
I may have have facsimiled cost, amounts,
never serial numbers / no cusips involved no copies involved.
product must be verified with cusip # and serial # / and never had.

---

[redacted] never contacted anyone b6 b7C
Secretary / Assistant —
[redacted]
aware transaction going on / didn't understand.
fizzled out.

[redacted] b6 b7C

DOB
POB
SSN
Ht
Wt.
Hair
Eyes

no idea who had bonds.

bonds and CD's are two different items

[redacted] involved with CD'S

didn't touch transaction / did approach about CD / but didn't believe FUGI BK (believe American Bank) — because it sounded like bogus transaction. never contacted anyone.

somebody had CD's / verified with someone else — too confusing.

cannot be verified by substantial.

bonds from DK / CD from FUGI Bank — two different transactions. CD's from FB have nothing to do with IT.

b6
b7C

X bonds selling at
X minium purchase $100,000,000 million
X 3 trillion in bonds

X 500,~~000~~ million

X - didn't believe they really existed to that amount
X questioned legitimacy
X requested verification - [redacted] - never provided. b6 b7C

X does not know anyone by name of last [redacted] -

1 ✓ California Corporation - ~~Dwi~~ Marketing Consortium Limited
- [redacted] phone [redacted] b6 b7C

X did have fax's machine / no longer maintain. [redacted] no
pending commercial license

(totally irrelevant)

FD-340b (Rev. 4-2-85)

**Field File No.** HOUSTON 255A-3

**Serial # of Originating Document**

(X) **OO and File No.** NY 255A-10179

**Date Received** 3/31/88

**From** [redacted] b6 b7C

(NAME OF CONTRIBUTOR)

F.B.I

(ADDRESS OF CONTRIBUTOR)

Houston

**By** [redacted] b6 b7C

(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure
☐ Yes ☒ No

Title: Unauthorized, aka, [redacted] b6 b7C
Counterfeit Japanese CDs;
CSCS;
OO: NY

Reference: _____
(Communication Enclosing Material)

**Description:** ☒ Original notes re interview of [redacted] b6 b7C b7D

FD-340 (Rev. 4-2-86)

PLEASE DO NOT REMOVE
THIS SLIP FROM CASEFILE
NY 255-10 1A9

**Field File No.** ~~HQ~~ 255A-3

**Serial # of Originating Document** _____

**OO and File No.** NY 255A-10 (C-3)

**Date Received** 3-31-88

**From** [redacted] b6 b7C

**By** [redacted]

To Be Returned ☐ Yes ☒ No  Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

**Title:**

**Reference:** _____
(Communication Enclosing Material)

**Description:** ☒ Original notes re interview of [redacted] b6 b7C b7D

FD-340b (Rev. 4-2-85)

**Field File No.** _____

**Serial # of Originating Document** _____

**OO and File No.** NY2557-10 HA10

**Date Received** 7/30/87

**From** [redacted]

b6
b7C
b7D

**By** [redacted]

To Be Returned ☐ Yes ☑ No Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title: Unknown; aka
[redacted]
Counterfeit Yaji Bank(C); SI;
CSC SI;
OO: NY

b6
b7C

Reference: _____
(Communication Enclosing Material)

**Description:** ☑ Original ~~notes re interview of~~
Form 472

Consent Form to
Monitor Telephone

FD-340 (Rev. 4-2-85)

**Field File No.** _____

**Serial # of Originating Document** _____

**OO and File No.** NY 255A-10 1A11

**Date Received** 7-24-87

**From** FBI, NY

(Name of Contributor)

(Address of Contributor)

[redacted] d State)

**By** [redacted] ecial Agent)

To Be Returned ☐ Yes ☒ No  Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

**Title:** unsub, aka [redacted]

b6
b7C

Reference: _____

(Communication Enclosing Material)

**Description:** ☒ Original notes re interview of

[redacted]

b6
b7C
b7D

FD-340 (Rev. 4-2-85)

**Field File No.** _____

**Serial # of Originating Document** _____

**OO and File No.** NY 255A-10 IAIF

**Date Received** [redacted]

**From** SA [redacted]
(Name of Contributor)

NYO
(Address of Contributor)

[redacted] ty and State)

**By** [redacted]
(Name of Special Agent)

b6
b7C

b6
b7C

To Be Returned ☐ Yes ☒ No  Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title: Unauthorized, [redacted], Counterfeit Fuji Bank - CD's; CSCS; OO: NY

b6
b7C

Reference: _____
(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

Investigation Note

called 7/28/87

Shearson (NY) - [redacted] b6 b7C

Shearson Lehman Special Securities
[redacted] Medium Term Notes
World Financial Center
9th Floor
640-8400

Shearson (Houston) [redacted] b6 b7C

Fuji's atty NY [redacted] b6 b7C
Wash. DC. [redacted]

7/27/87 Rec'd Case 2557A

7/27/87 [redacted]

b6
b7C
b7D

[redacted]

b6
b7C

On 7/24/87 - 1st Answered 1st American Bank of Ohio, LTD.

2nd Call Same # after 5pm Answered 1st Community Bank

~~[redacted]~~ [redacted]

7/28/87 [redacted] Kidder-Peabody

Called [redacted] on Phone trying to sell fraudulent Fiji Bank CDs

b6
b7C

[redacted]

[redacted] → [redacted]

b6
b7C
b7D

7/28/87 [redacted] AUSA Confirmed Consentual Monitoring

7/28/87 [redacted] b6 b7C
(called 3:45 pm - out) Internal Security Associations
[redacted]
(Oppenheimer & Co.)

7/28/87 [redacted] b6 b7C b7D

7/29/87 [redacted] b6 b7C b7D

7/29/87 [redacted] called b6 b7C
re: tuji CD's = he passes on info through ISA's Alert System.

7/30/87 [redacted] b6 b7C b7D
[redacted] said Hanston Capital Trust getting / feeling out Customers for 3 TRILLION CD's for Sumitomo Bank

7/30/87 Spoke to [redacted] b6 b7C
Merrill Lynch ~~[illegible]~~ Corporate Security [redacted]

2/30/87 2:15 pm Made recorded call to [redacted] from [redacted] and [redacted]

b6
b7C
b7D

2/30/87 4:00 pm call from [redacted]

b6
b7C
b7D

[redacted]

8/21/87 LHM and Auth sent out to LA Dallas, Houston, Columbia Legats London & Ottawa, etc.

8/25/87 NY-177 Submitted
Ehsur Cards sent to FBIHQ Elsunkdex,
NY 66-1119 Sub G Room 2600 and
NY 255A-10

1/19/88 Auth to LA to interview [redacted] and [redacted]

b6
b7C

5/19/88 Record declination from [redacted] AUSA, SDNY

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b7C

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Page 118 ~ b6; b7C; b7D;
Page 119 ~ b7D;
Page 120 ~ b7D;
Page 121 ~ b7D;
Page 122 ~ b6; b7C; b7D;
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Page 133 ~ b6; b7C; b7D;
Page 134 ~ b6; b7C; b7D;
Page 135 ~ b6; b7C; b7D;
Page 136 ~ b7D;
Page 137 ~ b7D;
Page 138 ~ b6; b7C; b7D;
Page 139 ~ b6; b7C; b7D;
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Page 141 ~ b6; b7C; b7D;
Page 142 ~ b6; b7C; b7D;
Page 143 ~ b6; b7C; b7D;
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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494816-000

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

New York, New York

UNKNOWN SUBJECT(S), also known as [redacted]
POSSIBLE COUNTERFEITING OF FUJI
BANK LIMITED CERTIFICATES OF DEPOSIT;
COUNTERFEITING OF STATE AND
CORPORATE SECURITIES

1,2,3,4 b6 b7C [redacted]

On July 24, 1987, the New York Office received a complaint from [redacted]

b6
b7C
b7D

255-10-

6 - Bureau
4 - Albuquerque
4 - Columbia
4 - Dallas
4 - Houston
4 - Los Angeles
4 - Miami
1 - New York (255A-10 [redacted]

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
AUG 21 1987
FBI NEW YO [redacted]

b6
b7C

[redacted]
(32)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

UNKNOWN SUBJECT(S), also known as
[redacted]

POSSIBLE COUNTERFEITING OF FUJI
BANK LIMITED CERTIFICATES OF DEPOSIT;
COUNTERFEITING OF STATE AND CORPORATE
SECURITIES; FRAUD BY WIRE

b6
b7C

On July 27, 1987, [redacted] of FB advised the CDs are in his area of responsibility at FB. [redacted] confirmed that the CDs in question are not legitimate FB CDs for several reasons: FB, being a foreign bank, cannot offer ten year CDs, only five years; the interest of fourteen percent is absurd as it is much higher than the current market; lastly ten billion dollars in CDs is an unrealistic amount.

b6
b7C

On July 28, 1987, [redacted] KIDDER-PEABODY COMPANY (KP), 10 Hanover Square, New York, New York, (212) 510-4849, advised that KP had received a call from a subject identifying himself as [redacted] and he was offering FB CDs for sale of the aforementioned description. [redacted] said inquiries with FB determined that no such CDs had been issued by FB. [redacted] provided a call back telephone number of [redacted] Preliminary inquiries by the New York Office has determined this number is a number in Orange County, California.

b6
b7C

On July 28, 1987, [redacted]

b6
b7C
b7D

UNKNOWN SUBJECT(S), also known as
[redacted]

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b7C

POSSIBLE COUNTERFEITING OF FUJI
BANK LIMITED CERTIFICATES OF DEPOSIT;
COUNTERFEITING OF STATE AND CORPORATE
SECURITIES; FRAUD BY WIRE

On August 4, 1987 [redacted]

b6
b7C
b7D

On August 4, 1987, [redacted] of FB said he was telephoned by a person identifying himself as [redacted] telephone [redacted] or [redacted] concerning the sale of FB CDs as has been described. [redacted] said he informed [redacted] that no such CDs existed.

b6
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287

UNKNOWN SUBJECT(S), also known as

[redacted]

POSSIBLE COUNTERFEITING OF FUJI BANK LIMITED CERTIFICATES OF DEPOSIT; COUNTERFEITING OF STATE AND CORPORATE SECURITIES; FRAUD BY WIRE

b6
b7C

On August 7, 1987, [redacted]

b6
b7C
b7D

At present no victims have been located and the identities of the subjects have not been determined. FB representatives have expressed a willingness to cooperate in whatever manner is necessary as they are concerned about the reputation of their bank.

[redacted]

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

TO : ACTING DIRECTOR, FBI
(ATTN: INTELLIGENCE DIVISION, LIAISON UNIT)

FROM : ADIC, NEW YORK (255A-10) (P) (C-3)

SUBJECT: UNSUBS, aka [redacted]
POSSIBLE COUNTERFEITING OF FUJI
BANK LIMITED CERTIFICATES OF DEPOSIT;
CSCS; FBW
(OO:NY)

[redacted] b6 b7C

See attached LHM for Indexing

Enclosed for the Bureau are six copies of an LHM reference captioned matter. Enclosed for other receiving offices are four copies of the same LHM.

The enclosed LHM provides all information presently known concerning above violation. In short, UNSUBS are attempting to sell FUJI BANK certificates of deposit (CDs) as described in the LHM. Inquiries with FUJI BANK OF NEW YORK has determined that CDs, as described by UNSUBS, are not being offered by FUJI BANK and in fact do not exist.

Those UNSUBS listed in the title have attempted to perpetrate the fraud and possibly the fraudulent scheme could include some or all of the persons listed in the LHM. In addition to the leads as set out below, receiving offices are encouraged to employ any plausible ruse to elicit information

7 - Bureau (Encls. 6)
(1 - INTD, Liaison Unit)
(2 - Legat, London)
(2 - Legat, Ottawa)
2 - Albuquerque (Encls. 4)
2 - Columbia (Encls. 4)
2 - Dallas (Encls. 4)
2 - Houston (Encls. 4)
2 - Los Angeles (Encls. 4)
2 - Miami (Encls. 4)
1 - New York 255-10-3

AUG 21 [redacted] b6 b7C

[redacted]
(21)

from [redacted] and determine the identity of FNU [redacted] should not be directly interviewed; however, all others unless specifically stated not to, should be contacted. During the interviews the goal of developing a cooperating witness/subject should be entertained. b6 b7C

LEADS

ALBUQUERQUE

AT ALBUQUERQUE, NEW MEXICO.

1. Locate and interview [redacted] AMERICAN INDIAN TRUST, Albuquerque, New Mexico, to determine [redacted] involvement in captioned matter and obtain additional information concerning [redacted] CREDIT SERVICES, Houston, Texas, and [redacted] Houston, Texas. b6 b7C b7D

2. Determine subscribers to telephone number [redacted] and [redacted] and conduct criminal and indices checks on subscribers. These numbers were supplied by [redacted] b6 b7C b7D

3. Locate and interview [redacted] AMERICAN CAPITAL CORPORATION, telephone [redacted] (same number as one of two supplied by [redacted]. Elicit information reference JAMISON AND ROSS MERCHANT BANK (JRMB), Jersey, Channel Islands, Great Britain; [redacted] TEXAS BANK OF COMMERCE, Fort Worth, Texas; [redacted] Canadian Mandate Agent, [redacted] Attorney-at-Law, [redacted] and GUARDIAN BANK AND TRUST, Bahamas, (809) 947-7533. b6 b7C b7D

COLUMBIA

AT COLUMBIA, SOUTH CAROLINA.

1. Determine subscriber to telephone [redacted] said to be the number for a company named MOORESHEAD. b6 b7C

2. Interview subscriber and/or appropriate persons at MOORESHEAD, if it can be located, regarding FUJI BANK CDs, [redacted] and any other information of value. b6 b7C b7D

DALLAS

AT FORT WORTH, TEXAS.

1. Locate and interview [redacted] TEXAS BANK OF COMMERCE, Fort Worth, Texas, regarding his knowledge of [redacted] and the FUJI BANK CDs. b6 b7C b7D

2. Locate and interview [redacted] Attorney-at-Law, [redacted] regarding his relationship to [redacted] and FUJI BANK CDs.

HOUSTON

AT HOUSTON, TEXAS.

1. Contact [redacted] SHEARSON LEHMAN COMPANY, Houston, (713) 757-9100, for information regarding all of subjects involved. b6 b7C b7D

2. Locate [redacted] CREDIT SERVICES, possibly Credit Services at [redacted] Houston, Texas, for any information pertaining to [redacted] other persons involved and the sale of FUJI BANK CDs.

LOS ANGELES

AT HUNTINGTON BEACH, CALIFORNIA.

1. Determined subscriber information to [redacted] and thereafter conduct criminal and indices checks on subscribers. b6 b7C

2. Conducted indices on INTERNATIONAL MARKETING CONSORTIUM LIMITED, Huntington Beach, California, and thereafter conduct indices and criminal checks on any persons associated with the company.

3. Conduct indices and criminal inquiries on [redacted]

4. Determine subscribers to telephone number [redacted] and [redacted] and thereafter conduct indices and criminal checks on subscribers. b6 b7C b7D

5. Locate and interview [redacted] Determine subscriber information to [redacted].

MIAMI

AT BAHAMAS.

1. Inquire at GUARDIAN BANK AND TRUST, Bahamas, (809) 949-7533, for information concerning the sale of FUJI BANK CDs and any relationship with [redacted] b6 b7C b7D

2. Determine subscriber to telephone number [redacted] and conduct criminal and indices checks on subscriber if not GUARDIAN BANK AND TRUST.

REQUEST OF THE BUREAU

The Bureau is requested to forward appropriate number of copies of airtel and LHM to Legat Ottawa. Thereafter Legat Ottawa should cause to be determined the subscriber to telephone number [redacted] (an Alberta, Canada, area code) and additionally locate [redacted] "Mandate Agent," and interview reference captioned matter. b6 b7C

The Bureau is also requested to make the same dissemination to Legat London. Legat London is requested to

determine if the JRMB, Jersey, Channel Islands, exists. If such institution exists, appropriate personnel at the bank shold be interviewed regarding the FUJI BANK CDs and [redacted] b6 b7C b7D

Memorandum

To : SAC (66-9533)
DIVISION II [redacted]

Date 7/28/87

From : SA [redacted]

Subject : REQUEST SAC AUTHORITY FOR USE OF A TELEPHONIC MONITORING DEVICE

I. PURPOSE: Authority is requested to utilize an electronic device to consensually monitor and/or record private conversations between [redacted] and cooperating witness/source, consenting party, on telephone number [redacted] located at [redacted] for a period of 1 days in connection with the following case entitled, UNSUB(S) aka [redacted] (ENU [redacted]) SALE OF COUNTERFEIT FUJI BANK LTD CDS 100:NY (255A-NY-[redacted])

b6
b7C
b7D

II. SYNOPSIS OF INVESTIGATION: [redacted]

b6
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b7D

III. DEVICE TO BE USED: A SUPERSCOPE ( ) recorder, model C-202 LP serial [redacted], will be/was used to record the conversations.

b7E

IV. LEGAL BASIS (U.S. Code, Section(s) ) Title 18, Section(s) 1343, Fraud by Wire, and 511, Counterfeiting of Corporate Securities

1-66-9533
1-New York Case File (255A-10)

255-10-4

[redacted]

b6
b7C

AUG 26 1987

[redacted]

V. U.S. ATTORNEY OPINION: On 7/28/87, AUSA [redacted] was advised of the above by SA [redacted] The AUSA concurred in the use of the consensual monitoring technique, the device to be used, and did not forsee entrapment as an issue.
(Date AUSA opinion confirmed in writing _____)

b6
b7C

VI. On 7/29/87 oral consent was obtained to have a recording device installed which will be used only when the consenting party is present. The consenting party has agreed to testify in court regarding the recorded conversation.
(Date FD-472 executed 7/30/87)

VII. ADMINISTRATIVE: The identity of the consenting party referred to above is [redacted]
(Name of cooperating witness or symbol # of source)

b6
b7C
b7D

VIII. ADDENDUM: I recommend approval of the above request to use a telephone recording device as outlined above.

Cont #2557

Supervisor [redacted] Date 7/30/87

ASAC [redacted] (Ini Date 7/30/87

b6
b7C

# Memorandum

To : SU[redacted] Date 8/25/87

From : SA[redacted] SQUAD C-3

Subject : CONSENSUAL MONITORING OVERHEAR CARDS

Please prepare two consensual monitoring overhear cards for each of the individuals listed below. One copy of each card is to be filed in the New York Elsur Index, and the other copy is to be forwarded, by you to the FBIHQ Elsur Index.

CASE CAPTION: Unsub(s) aka [redacted] possible
Counterfeiting of Fuji Bank CD's; CSCS; FBW

NY FILE #: 255A-10

BUFILE #:

NYCM#: 2557

| LAST NAME, FIRST NAME | OVERHEAR DATES | TELEPHONE/ NON/TELEPHONE |
|---|---|---|
| [redacted] | 7/30/87 | Telephone |

255A-10-5

1 - FBIHQ ELSUR INDEX
1 - NY 66-1119 SUB G (ROOM 2600)
1 - NY 255A-10

b6
b7C

# Memorandum

To : SUPERVISOR, ROOM 2600 Date 8/25/87

From : SA [redacted] SQUAD C-3

b6
b7C

Subject : CONSENSUAL MONITORING OVERHEAR CARDS

Please prepare two consensual monitoring overhear cards for each of the individuals listed below. One copy of each card is to be filed in the New York Elsur Index, and the other copy is to be forwarded, by you to the FBIHQ Elsur Index.

CASE CAPTION: Unknown aka [redacted]

b6
b7C

Possible Counterfeiting of Fuji Bank CDs; CSCS; FBW

NY FILE #: 255A-10

BUFILE #:

NYCM#: 2557

[redacted]

b6
b7C
b7D

| LAST NAME FIRST NAME | OVERHEAR DATES | TELEPHONE/ NON/TELEPHONE |
|---|---|---|
| [redacted] | 7/30/87 | Telephone |

255A-10 to [redacted]

b6
b7C

1 - FBI HQ ELSUR INDEX

1 - NY 66-1119 SUB G (ROOM 2600)

1 - NY 255A-10

FD-302(Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of Transcription
8/5/87

1

[redacted] b6 b7C b7D

telephonically contacted the New York Office of the Federal Bureau of Investigation and spoke with Special Agent [redacted] Also present during her conference call were [redacted] and [redacted] telephone number [redacted] provided the following information:

[redacted]

2

[redacted] b6 b7C b7D

Investigation on 7/24/87 at New York, New York File # NY 255A-10
(Telephonically)

7

By SA [redacted] Date Dictated 7/30/87 b6 b7C

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SEARCHED INDEXED FILED
SEP 01 1987
FBI - NY

[redacted] b6 b7C

NY-177 (6-21-82)

# Memorandum

Doc

To : ADIC [redacted] Date 8/25/87

From : SA [redacted] SECTION C-3

Subject : CONSENSUAL MONITORING ☒ TELEPHONE (C-1) ☐ OTHER (C-2)

NY CM# 2557

Re Buairtel to all offices, 6/8/77, captioned "MICROPHONE AND TELEPHONE SURVEILLANCES."

CASE CAPTIONED: UNSUB(S) aka [redacted]
POSSIBLE COUNTERFEITING OF FUJI BANK LTD. CERTIFICATES of DEPOSIT; CSCS; FBAN; DOM

NYFILE: 255A-10 BUFILE: _____ AUTHORITY/DATE: 7/30/87

DESCRIPTION: [redacted]

ELSUR CARDS SUBMITTED 8/25/87 [redacted] (Date)

AUSA [redacted] has been contacted and concurs.

**ALL OVERHEARS RELATING TO THIS AUTHORITY AND CONSENSUAL MONITORING MUST BE INDEXED FOR NEW YORK "ELSUR" INDICES**

********** SO-1 USE ONLY **********

EQUIPMENT ISSUED: _____

1 - New York (66-7968) (SO-1) (Blue)
(1) Case File (Pink) (255A-10)
1 - Room 2600 MANHATTAN 66-7968 Sub B (Yellow)
1 - Supervisor or Substantive Desk (Green)
1 - SAC _____ (White)

255A-10-8

SEARCHED INDEXED FILED
AUG 26 1987
FBI - NEW YORK

[redacted]

b6
b7C

255A-10 9

SEP 2 2 1997

b6
b7C

FD-302(rev.3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription
9/4/87

1

[redacted] Capitol Markets Group, The FUJI BANK LIMITED (FBL), New York Branch, Suite 6011, One World Trade Center, New York, New York (NY), telephone number [redacted] contacted Special Agent (SA) [redacted] at the offices of the Federal Bureau of Investigation (FBI), 28th Floor, 26 Federal Plaza, New York, NY. He indicated that he wished to provide additional information regarding fraudulent FBL financial instruments. Also present during the interview was [redacted] FBL, New York Branch. [redacted] stated the following:

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FBL previously had inquiries from a [redacted], AMERICAN CAPITOL CORPORATION, Albuquerque, New Mexico, regarding fraudulent certificates of deposit. This was reported to the FBI. On approximately August 12, 1987, FBL received a call from [redacted] regarding a transaction involving letters of credit (LCs), and also a foreign exchange transaction to convert yen to dollars. [redacted] facimilied information and copies of financial instruments to the bank. These securities were drawn on DAIICHI-KANGYO BANK (DKB).

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123

It was obvious to FBL that [redacted] was attempting to liquidate some type of Japanese paper. This paper appears, on its face, to be savings accounts certificates. However, there are no such things in Japan. The certificates have a face value of the equivalent of approximately 70 million United States (US) dollars.

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[redacted] did not know why [redacted] was attempting to convert the savings certificates at FBL rather than DKB. The certificates are obviously false. For one thing, they show no authorizing name of either a general manager or a president. DKB has verified that these certificates are fraudulent.

[redacted] also faxed a copy of something appearing to be a bank check drawn on FBL, in the amount of three billion yen. [redacted] stated that this is also fraudulent. The check had no authorizing name and no payee.

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Investigation on 8/24/87 at New York, New York File # NY 255A-10

By SA [redacted] Date Dictated 8/28/87

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 b6 b7C

FBL last heard fro[redacted] on August 20, 1987. So far they have advised him that they are unable to verify the authenticity of the instruments. However, they intend to advise [redacted] that the paper is not good. b6 b7C

[redacted] indicated that FBL advised their home office of the details of the correspondence from [redacted] In response, that office provided the details of previous similar incidents.

In approximately September or October of 1982, a group of individuals were presenting forged drafts of FBL checks to banks in Japan. The police subsequently arrested four men for this. The fraudulent checks in this case were somewhat similar to the one provided by [redacted] b6 b7C

In December, 1985, an FBL check was presented for payment by AMERICAN ASIAN BANK in the US. This check was payable to a [redacted] and was also fraudulent. Other than the fact that there is no payee, the copy of the check provided by [redacted], number 5413, is identical, including the number and the amount of the check. FBL did not pay on the check, but does have a record of an inquiry from a [redacted] [redacted] at their Los Angeles Branch in the beginning of 1986. b6 b7C

[redacted] b6 b7C b7D

Lastly [redacted] provided a copy of a Japanese news article about a group of men arrested in a fraud involving a counterfeit Japanese treasury note, and a fraudulent check from DKB. Three men were arrested by the FBI in connection with the fraud. This was a Sting operation, where the securities were shown to an Undercover Agent, posing as a potential investor in a plan for the construction of a hotel b6 b7C

b6
b7C

in Las Vegas. Those arrested were [redacted] age [redacted], of [redacted] age [redacted] of [redacted] and [redacted] of [redacted] provided copies of [redacted] correspondence with FBL and of the head office information (in Japanese) pertaining to similar incidents involving fraudulent checks.

b6
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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

26 Federal Plaza
New York, New York

Honorable Rudolph W. Giuliani
United States Attorney
Southern District of New York
One St. Andrew's Plaza
New York, New York 10007

Attention: [redacted] b6 b7C
Assistant United States Attorney

Re: Unsubs;
also know as
[redacted] b6 b7C
First Name Unknown [redacted]
Counterfeiting of
Fuji Bank, Limited,
Certificates of Deposit

Dear Mr. Giuliani:

The purpose of this letter is to confirm the conversation between Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] on July 28, 1987, in which the following information was relayed to AUSA [redacted] b6 b7C

[redacted] b6 b7C b7D

[redacted] b6 b7C

SEP 29 1987

255A-10-10

2 - Addressee
1 - New York

[redacted]
(4)

After review of the above information, AUSA [redacted] approved the use of the consensual monitoring, offered that it was not the issue of entrapment, and it would present no bar to prosecution in the future.

b6
b7C

Very truly yours,

THOMAS L. SHEER
Assistant Director in Charge

b6
b7C

By: [redacted]
Supervisory Special Agent

255A-10-11

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 9/21/87

TO: ACTING DIRECTOR, FBI
ATTN: WHITE COLLAR CRIME SECTION, CID

FROM: LEGAT, LONDON (255A-2)(RUC)

SUBJECT: UNSUBS, aka [redacted]
POSSIBLE COUNTERFEITING OF
FUJI BANK LIMITED CERTIFICATES
OF DEPOSIT;
CSCS; FBW
OO: NY

b6
b7C

ReNYairtel, undated, received London 9/9/87.

[redacted]

b6
b7C
b7D

London considers this matter RUC'd.

5 - Bureau (Enclosure)
1 - OLIA
2 - New York (255A-10)
1 - London
[redacted]
(6)

b6
b7C

255A-10-12

[redacted]

b6
b7C

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

OCT 09 1987

PACK

[redacted]

CERTIFICATE OF DEPOSIT
BANK LIST

---

SUMITOMO

DAI - ICHI KANGYO

SANWA

FUJI

MITSUI

DAIWA

TOKAI

BANK OF TOKYO

MITSUBISHI

TAIYO KOBE

HONG KONG SHANGHI

LONG TERM CREDIT BANK

b6
b7C

25SA-10-13

b6
b7C

255A-10-14

Copy

# THE FUJI BANK, LIMITED

New York Branch

One Chase Manhattan Plaza, New York, N.Y. 10005

Copy

Date of Issue: No.:

## NEGOTIABLE CERTIFICATE OF DEPOSIT

THIS CERTIFIES THAT THERE HAS BEEN DEPOSITED WITH THE FUJI BANK, LIMITED at its New York Branch (the "Bank") the sum of:

[illegible] U.S. Dollars ([illegible])

PAYABLE TO the last named registered holder hereof as shown in the Record of Transfers set forth hereunto in the Register referred to on the reverse hereof (the "Holder"), only on or after the Maturity Date set out below and against this certificate, and that interest on such sum is payable in installments on the principal amount of the deposit stated on the face hereof, from the Date of Issue hereof to the Maturity Date only, at a fixed interest rate of [illegible] per annum. Interest shall be payable in arrears on each of the Interest Payment Dates specified on the reverse hereof. Interest shall be calculated on the basis of a year of 360 days for the actual number of days elapsed.

Installments of interest and the principal sum deposited with the Bank will be paid to the Holder in immediately available funds by The Fuji Bank and Trust Company, acting as issuing and paying agent on behalf of the Bank (the "Agent"), pursuant to the terms of this Certificate. Principal will be paid on the Maturity Date to the Holder by the Agent against surrender of this Certificate at the offices of the Agent at One World Trade Center, Suite [illegible], New York, New York 10048. Interest installments will be paid on each Interest Payment Date specified on the reverse hereof to the Holder indicated in the Record of Transfers set forth below and in the Register maintained by the Agent referred to on the reverse hereof as of the opening of business on the 10th Business Day prior to such Interest Payment Date by [illegible] wire transfer to the account specified by the Holder to the Agent, or [illegible] such amount is indicated, by check or draft mailed to the address specified by the Holder to the Agent. (Business Day means a day on which banks and foreign exchange markets are open for business in New York City.)

The principal sum deposited with the Bank shall not be subject to withdrawal by the Holder hereof in any event whatsoever until the Maturity Date.

This Certificate shall be governed by the law of the State of New York.

THIS CERTIFICATE OF DEPOSIT IS NOT VALID UNLESS SIGNED BY THE BANK AND COUNTERSIGNED AND NUMBERED BY THE AGENT.

NO INTEREST SHALL ACCRUE AFTER MATURITY.

MATURITY DATE:

THE FUJI BANK AND TRUST COMPANY

By: _____

Authorized Signature

For authentication purposes only

THE FUJI BANK, LIMITED

New York Branch

By: _____

Authorized Signature

Record of Transfers

| Transfer Date | Name and Address of Holder | Authorized Signature |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

Copy

b6
b7C

255A-10-15

TRUST COMPANY

BY_____
AUTHORIZED SIGNATURE
FOR AUTHENTICATION PURPOSES ONLY

BANK NAME

BY_____
AUTHORIZED SIGNATURE

RECORD OF TRANSFERS

| TRANSFER DATE | NAME & ADDRESS OF HOLDER | AUTHORIZED SIGNATURE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

255A-10-16

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BANK NAME
BRANCH
BANK ADDRESS

DATE OF ISSUE: NO.:

NEGOTIABLE CERTIFICATE OF DEPOSIT

THIS CERTIFIES THAT THERE HAS BEEN DEPOSITED WITH THE _____ BANK THE SUM OF :

ONE MILLION / BILLION / TRILLION U.S. DOLLARS (US $000,000,000,000.00)

PAYABLE TO THE LAST NAMED REGISTERED HOLDER HEREOF AS SHOWN IN THE RECORD OF TRANSFERS SET FORTH BELOW AND IN THE REGISTER REFERRED TO IN THE .....THE "HOLDER", ONLY ON OR AFTER THE MATURITY DATE SET OUT BELOW AND AS PROVIDED HEREIN, AND THAT INTEREST ON SUCH SUM IS PAYABLE IN .....AS THE PRINCIPAL AMOUNT OF THE DEPOSIT STATED ON THE FACE HEREOF, FROM THE DATE OF ISSUE HEREOF TO THE MATURITY DATE ONLY, AT A FIXED INTEREST RATE EQUAL TO _____ PERCENT PER ANNUM. INTEREST SHALL BE PAYABLE IN ARREARS ON EACH OF THE INTEREST PAYMENT DATES SPECIFIED ON THE REVERSE ..... INTEREST SHALL BE CALCULATED ON THE BASIS OF A YEAR OF 365 DAYS FOR THE ACTUAL NUMBER OF DAYS ELAPSED.

INSTALLMENTS OF INTEREST AND THE PRINCIPAL SUM DEPOSITED WITH THE BANK WILL BE PAID TO THE HOLDER IN IMMEDIATELY AVAILABLE FUNDS BY THE _____ BANK, ACTING AS ISSUING AND PAYING AGENT ON BEHALF OF THE BANK (THE "AGENT"), PERSUANT TO THE ....., OF THIS CERTIFICATE. PRINCIPAL WILL BE PAID ON THE MATURITY DATE TO THE HOLDER BY THE AGENT AGAINST SURRENDER OF THIS CERTIFICATE AT THE OFFICES OF THE AGENT AT _____. INTEREST INSTALLMENTS WILL BE PAID ON EACH INTEREST PAYMENT DATE SPECIFIED ON THE REVERSE HEREOF TO THE HOLDER INDICATED IN THE RECORD OF TRANSFERS SET FORTH BELOW AND IN THE REGISTER MAINTAINED BY THE AGENT REFERRED TO ON THE REVERSE HEREOF AS OF THE OPENING OF BUSINESS ON THE FIFTH BUSINESS DAY PRIOR TO SUCH INTEREST PAYMENT DATE BY (1) WIRE TRANSFER IN THE ACCOUNT SPECIFIED BY THE HOLDER TO THE AGENT, OR (2) IF NO SUCH ACCOUNT IS INDICATED, BY CHECK OR DRAFT MAILED TO THE ADDRESS SPECIFIED BY THE HOLDER TO THE AGENT. (BUSINESS DAY MEANS A DAY ON WHICH BANKS AND FOREIGN EXCHANGE MARKETS ARE OPEN FOR BUSINESS IN NEW YORK CITY.)

THE PRINCIPAL SUM DEPOSITED WITH THE BANK SHALL NOT BE SUBJECT TO WITHDRAWAL BY THE HOLDER HEREOF IN ANY EVENT WHATSOEVER UNTIL THE MATURITY DATE.

THIS CERTIFICATE SHALL BE GOVERNED BY THE LAW OF THE STATE OF _____.

THIS CERTIFICATE OF DEPOSIT IS NOT VALID UNLESS SIGNED BY THE BANK AND COUNTERSIGNED AND REGISTERED BY THE AGENT.

MATURITY DATE: NO INTEREST SHALL ACCRUE AFTER MATURITY.

(Rev. 8-29-85)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 10/2/87

TO: SAC, HOUSTON

FROM: SAC, DALLAS (255A-4) (RUC)

SUBJECT: UNSUBS, aka [redacted] b6 b7C

POSSIBLE COUNTERFEITING OF
FUJI
BANK LIMITED CERTIFICATES
OF DEPOSIT;
CSCS;
FBW
OO: NEW YORK

Re New York airtel to Bureau.

Enclosed for New York, Miami, and Houston is one copy each of a Fuji bank limited negotiable Certificate of Deposit (poor quality), sample of a negotiable Certificate of Deposit document, one page document pertaining to record of transfers, and one page of Certificate of Deposit bank list.

1,2,3, [redacted] b6 b7C

For information of receiving offices, on 9/22/87, [redacted] EXECUTIVE BANKING, TEXAS COMMERCE BANK, One Tandy Center, Fort Worth, Texas, telephone number (817) 336-9661, advised that he was personally unaware of anyone offering Fuji bank limited Certificates of Deposit (CD). He advised he had received a telephone call message

255A-1 [redacted] [redacted] b6 b7C

2-Houston (Enc. 4)
2-Miami (Enc. 4)
2-New York (Enc.4) (255A-10) (C-3)
1-Dallas
[redacted]
(7)

Approved: _____ Transmitted _____ (Number) (Time) Per [redacted]

☆U.S. GPO: 1987 — 181-486

from his secretary from an individual identifying himself as [redacted] on 8/5/87. [redacted] indicated to [redacted] secretary that he was with the BUREAU OF INDIAN AFFAIRS (BIA), DEPARTMENT OF INTERIOR, Washington, D. C. The secretary noted that [redacted] had indicated availability of $50 million cash value prime bank notes bearing 7½% interest over 20 years. There was no mention of the Fuji bank CD. [redacted] did not recognize the name of [redacted] [redacted] or [redacted] acknowledged that he knows Fort Worth Attorney [redacted] as a customer of the bank.

b6
b7C

On 9/23/87, [redacted] Attorney-at-Law, [redacted] telephone [redacted] advised that he first heard of Fuji bank CD's in approximately June or July, 1987. He indicated he could not recall who originally had told him about the possible availability of the CD. He had subsequently talked to an individual named [redacted] of Florida, who [redacted] believes is a broker. [redacted] telephone number is [redacted] sent the above described enclosures via facsimile to [redacted] at [redacted] office in Fort Worth, Texas.

b6
b7C

[redacted] recalled [redacted] mentioning that the CD's paid 14% dividend on a 10 year purchase. [redacted] stated he has not made any purchases nor has he attempted to line up any investors for the CD's. He has not actively pursued anything further about the Fuji bank CD's.

b6
b7C

Following interview of [redacted] he apparently telephonically contacted [redacted] and [redacted] telephonically contacted the Fort Worth RA on 9/23/87. [redacted] advised that he works from his residence at [redacted] His telephone number is [redacted] advised that following the contact by [redacted] he wanted to advise the FBI

b6
b7C

that his initial contact regarding the Fuji bank CD's came from [redacted] is a broker located at [redacted] telephone [redacted] advised he had received facsimile copies of the Fuji bank CD and the accompanying two documents from [redacted] indicated it was his understanding that the CD's would be available on a 5 year or 10 year basis at 14% interest. He indicated he has dealt with [redacted] for approximately five months. [redacted] has not seen an actual Fuji bank CD nor has he attempted to sell any due to their unavailability. b6 b7C b6 b7C

On 9/24/87, [redacted] Investment Consultant for FUNDING RESOURCES (FR) and Surety Group was contacted at his place of business as noted above. He indicated that he worked with [redacted] in FR. [redacted] works at 6819 Highway 90 West, Suite 630, Katy, Texas, telephone [redacted] and [redacted] He initally heard about the Fuji bank CD on 8/28/87 from [redacted] sent a facsimile copy of a sample of a Fuji bank CD along with a record of transfer and a list of banks with which they may deal if the CD's were available. The information sent also indicates how the purchase of the CD and subsequent dividend payment is to work. Basically, [redacted] indicated that his company would be contacting triple AAA rated banks who would be able to handle a five year CD with one five year rollover (for a total of 10 years) at 14% interest. The details are set out in the example of the document sent via facsimile to [redacted] Of the banks listed by [redacted] he believed that only two would be available to handle the CD's with the above specifications. The two banks were Hong Kong Shanghi and Long Term Credit Bank (from Austrailia). b6 b7C b6 b7C

[redacted] stated that there was not a 10 year CD available yet, but stated that the verbage in the sample regarding the CD explains how the 5 year note with a one time 5 year rollover would work to make it a 10 year note. [redacted] indicated that [redacted] stated he had been contacted by [redacted] of Houston, Texas, telephone [redacted] representing WESTERN AMERICAN FINANCIAL. [redacted] may have additional information regarding an individual named [redacted] He further indicated that the entire inquiry and interest in Fuji bank CD's may have originated with a MR. (FNU) [redacted] of Honolulu, Hawaii. [redacted] had no idea how to contact [redacted]

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b6
b7C
b6
b7C

The above information provided to New York and Miami for background.

LEADS

HOUSTON

AT KATY, TEXAS

Will review airtel and LHM previously furnished to Houston by New York Division. Thereafter will interview [redacted] regarding his knowledge of the information concerning Fuji bank CD's. Will endeavor to identify the individuals offering same to [redacted]

b6
b7C

AIRTEL

10/21/87

TO: ADIC, NEW YORK (255A-10) (C-3)

FROM: SAC, MIAMI (255A-9) (P)

UNSUBS, aka [redacted] b6 b7C

POSSIBLE COUNTERFEITING OF FUJI
BANK LIMITED CERTIFICATES OF DEPOSIT;
CSCS; FBW;
OO: NEW YORK

Re New York airtel to Acting Director, August, 1987.

For the information of New York, telephone number (809)949-7533 is a Cayman Islands, British West Indies, telephone and not located in the Bahamas.

A review of the 1987-88 Cable and Wireless Telephone Directory reveals that GUARDIAN BANK AND TRUST (Cayman) LTD is assigned telephone (809)949-7533. The address for GUARDIAN BANK AND TRUST LTD is Box 1062 Elizabethan Square, 4th Floor, George Town, Grand Cayman, Cayman Islands, British West Indies.

(1)

[redacted] b6 b7C

(2) - New York
2 - Miami
[redacted] b6 b7C
(4)

255-10-[redacted]

SEARCHED [redacted] INDEXED
SERIALIZED FILED

OCT 27 19

FBI NEW YORK

[redacted]

MM 255A-9

LEADS

MIAMI DIVISION

AT GRAND CAYMAN, BRITISH WEST INDIES

Will continue inquiry regarding GUARDIAN BANK AND TRUST LTD.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE OCT 30 1987

TO; ACTING DIRECTOR, FBI (ATTN: ELSUR INDEX)

FROM: ADIC, NEW YORK (66-1119 SUB G)

SUBJECT: ELSUR

This communication requires special handling and must be routed in an envelope.

Enclosed herewith are index cards which have been prepared for inclusion in the FBIHQ Elsur Index. The individuals monitored under NY Consensual Monitoring # 2557 , (T) case captioned UNSUB(S); AKA [redacted] POSSIBLE COUNTERFEITING OF FUJI BANK CDS; CSCS; FBW

b6
b7C

are as follows:

[redacted] 7/30/87
7/30/87

b6
b7C
b7D

[redacted]

b6
b7C

2- Bureau (Encl. 2 )
1- New York (66-1119 SUB G)
2- New York ( 255A-10)

(4)

[redacted]

255A-10-19

[redacted]

OCT 30 1987

[redacted]

b6
b7C

255A-10-20

FD-302 (REV. 3-10-82)

**FEDERAL BUREAU OF INVESTIGATION**

Date of transcription 10/27/87

[redacted] b6 b7C b7D

telephone number [redacted] was advised as to the identity of the interviewing agent and the purpose of the interview, at which time [redacted] advised as follows:

[redacted] b6 b7C b7D

Investigation on 10/23/87 at [redacted] File # LA 255A-5

by [redacted] A [redacted] Date dictated 10/26/87 b6 b7C b7D

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

☆U.S. GOVERNMENT PRINTING OFFICE:1987-181-487:60512

255A 10-21

FD-302 (REV. 3-10-82)



**FEDERAL BUREAU OF INVESTIGATION**

Date of transcription 11/12/87

On November 6, 1987, [redacted]

b6
b7C
b7D

Investigation on 11/6/87 at [redacted] File # LA 255A-5

by [redacted] Date dictated 11/9/87

b6
b7C
b7D

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

☆ U.S. GOVERNMENT PRINTING OFFICE: 1987-181-487:60512

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- XX airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 11/17/87

TO: ADIC, NEW YORK (255A-10) (C-3) (P)

FROM: SAC, LOS ANGELES (255A-5) (WCC-3/SARA) (RUC)

SUBJECT: UNSUBS, aka [redacted] (FNU) [redacted]
POSSIBLE COUNTERFEITING
OF FUJI BANK LIMITED
CERTIFICATES OF DEPOSIT;
CSCS; FBW;
OO: New York

b6
b7C

Enclosed for New York are the following:

1. Original and one copy of an FD-302 reflecting the interview with one [redacted] dated 10/23/87.

b6
b7C
b7D

2. Original and one copy of an FD-302 reflecting the interview of [redacted] dated 11/6/87.

3. Interview notes of the above mentioned interviews.

4. A variety of documents recieved from [redacted] during the 10/23/87, interview.

For information of New York, investigation in Orange County, California, determined the following subscribers to the below listed telephone numbers:

255A-10-22

SEARCHED [redacted] INDEXED
SERIALIZED FILED

NOV 25 1987

FBI — NEW YORK [redacted]

b6
b7C

2 - New York (Enc. 6)
1 - Los Angeles

[redacted]

(3)

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

[redacted] b6 b7C

Non-published number. Unable to obtain subscriber X information without a subpoena.

[redacted]

Currently disconnected, however, was formerly X subscribed by [redacted] b6 b7C

[redacted]

In addition to the above, the following indicies checks were conducted with the indicated results.

INTERNATIONAL MARKETING CONSORTIUM LIMITED
Huntington Beach, California - Negative

[redacted] - Negative b6 b7C b7D

[redacted] - Negative

[redacted] - Negative

[redacted] - Negative

[redacted] - Negative

A California Driver's License inquiry was made on [redacted] which indicated a last know address as [redacted] It also indicated an alias of [redacted] b6 b7C

In addition to the above, it appears [redacted] knowledge of the FUJI BANK (FB) Certificates of Deposit (CD'S) is rather limited. However, [redacted] has advised he will cooperate fully with an investigation, and provide any additional information he may develop concerning the CD'S. Also at this time, [redacted] is not being contacted until requested by office of origin.

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b7C
b7D

Due to the fact that it appears no further investigation need be conducted by the Los Angeles Division at this time, Los Angeles will place this matter in RUC status.

255-10-26

FD-302 (REV. 3-10-82)

# FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/30/87

[redacted] b6 b7C b7D

[redacted] advised of the identity of the interviewing agent and the purpose of the interview. He then furnished the following information:

[redacted] b6 b7C b7D

Investigation on 11/9/87 at [redacted] File # AQ 255A-1 b6 b7C b7D

by [redacted] SA [redacted] Date dictated 11/10/87

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

255-10 27

FD-302 (REV. 3-10-82)

# FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/01/87

[redacted] was advised of the identity of the interviewing Agent and the purpose of the interview. [redacted] then furnished the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 11/27/87 at [redacted] File # Albuquerque 255A-1, New York 255A-10

by SA [redacted] Date dictated 11/29/87

b6
b7C
b7D

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

AO 255A-1

[redacted]

b6
b7C

The following investigation was conducted by Special Agent (SA) [redacted]

AT ALBUQUERQUE, NEW MEXICO

On August 31, 1987, Investigative Assistant [redacted] informed SA [redacted]

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b7D

SA [redacted] conducted a Criminal and Indices check on the above Corporation which had negative results.

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ XXX AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 12/01/87

[redacted]

TO : ADIC, NEW YORK (255A-10) (C-3) P

FROM : SAC, ALBUQUERQUE (255A-1) RUC

SUBJECT: UNSUBS, AKA [redacted]
POSSIBLE COUNTERFEITING OF FUJI BANK
LIMITED CERTIFICATES OF DEPOSIT;
CSCS;
FBW;
OO: NEW YORK

b6
b7C

Re New York airtel to Bureau.

Enclosed for New York is the original and one copy of the FD-302s of [redacted] and an insert Special Agent [redacted] Also enclosed are three 1-A envelopes containing the original notes of interviews with [redacted] and [redacted] and [redacted]

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2 - New York (Enc. 9)
1 - Albuquerque
[redacted]
(3)

255-10-28

b6
b7C

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- X ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 11/30/87

TO: DIRECTOR, FBI

FROM: LEGAT, OTTAWA (255A-1)(RUC)

UNSUBS aka
[redacted] b6 b7C

POSSIBLE COUNTERFEITING OF FUJI
BANK LIMITED CERTIFICATES OF DEPOSIT;
CSCS; FBW
OO: NEW YORK

Reference New York airtel undated.

[redacted] b7D

[redacted] b6 b7C

~~CONFIDENTIAL MA~~TERIAL
ATTACHED

5 - Bureau (Enc. 1)
1 - Foreign Liaison Unit, OLIA
2 - New York (255A-10)(C-3)(Enc. 1)
1 - Ottawa (255A-1)
[redacted] b6 b7C
(6) 1*

Approved: _____ Transmitted _____ 255-10-29
(Number) (Tim[redacted] b6 b7C

[redacted]

# Memorandum

To : SAC, NEW YORK (255A-10) Date 12/15/87

From : SAC, COLUMBIA (255A-1) (RUC)

Subject : UNSUBS, aka
[redacted]
ET AL;
ETC;
FBW
(OO:NEW YORK)

b6
b7C

Re New York airtel (approximately 8/25/87).

The following investigation was conducted by SA [redacted] of the Greenville, S.C., RA as of 11/17/87:

ADMINISTRATIVE:

A confidential source (protect) advised [redacted]

b6
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b7D

NON ADMINISTRATIVE:

The following investigation was conducted by SA [redacted] of the Greenville, S.C., RA as of 11/17/87:

[redacted]

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b7C
b7D

255-10-98

SEARCHED SERIALIZED INDEXED FILED [redacted]

b6
b7C

DEC 22 19[illegible]

FBI — NEW YO[illegible]

2 - New York
1 - Columbia
[redacted]
(3)

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 1/7/88

TO: ADIC, NEW YORK (255A-10) (C-3)

FROM: [redacted] MIAMI (255A-9) (RUC)

UNSUBS; [redacted]

[redacted]

POSSIBLE COUNTERFEITING OF FUJI
BANK LIMITED CERTIFICATES OF DEPOSIT;
CSCS; FBW;
OO: NEW YORK

Re New York airtel to Acting Director, August, 1987.

Enclosed for New York are two copies of a report from [redacted] to SAC, Miami, dated 12/11/87.

[redacted]

(2) - New York
1 - Miami
[redacted]
(3)

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b6
b7C
b7D

b6
b7C
b7D

b6
b7C

255-10-32

SEARCHED ____ INDEXED ____

Approved: _____ Transmitted [redacted]

b6
b7C

O: 1987 — 181-486

Inasmuch as there is no outstanding investigation in the Miami Division, this matter is being placed in an RUC status.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 2/1/88

TO : SAC, LOS ANGELES (255A-5)

FROM : ADIC, NEW YORK (255A-10 (P) (C-3)

SUBJECT: UNSUBS;
[redacted]
POSSIBLE COUNTERFEITING OF
FUJI BANK LIMITED,
CERTIFICATES OF DEPOSIT;
CSCS;
FBW
(OO:NY)

b6
b7C

ReLAairtel to NY dated 11/17/87; and NYairtel to Director dated 8/87.

In referenced New York airtel, New York requested that an interview of [redacted] be held in abeyance until notification by Office of Origin. All requested leads were reported in the referenced Los Angeles communication. [redacted] must now be interviewed along with [redacted] After review of referenced New York airtel and LHM the following leads should be conducted:

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b7C

LEADS

LOS ANGELES DIVISION

AT HUNTINGTON BEACH, CALIFORNIA. Locate and interview [redacted] and [redacted] For Los Angeles' information, the California Division of Motor Vehicles shows an additional address for [redacted] as [redacted]

b6
b7C

255-10-33

1

SEARCHED [redacted] INDEXED
SERIALIZED [redacted] FILED
FEB 01 1988
FBI — NEW [redacted]

3-Los Angeles
1-New York
(5)

[redacted]

[redacted]

b6
b7C

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [X] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 4/7/88

TO : ADIC, NEW YORK (255A-10)(C-3/SARA)(P)

FROM : SAC, LOS ANGELES (255A-5)(WCC-3/SARA)(RUC)

SUBJECT: UNSUBS; [redacted]
POSSIBLE COUNTERFEITING OF
FUJI BANK LIMITED;
CERTIFICATES OF DEPOSIT;
CSCS;
FBW;
OO: New York

b6
b7C

Re New York airtel to Los Angeles dated 2/1/88.

Enclosed for New York is one original FD-302 and copy covering interview of [redacted] and [redacted] and 1-A envelope containing agents original interview notes.

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b7C

On 3/31/88 [redacted] and [redacted] were interviewed. [redacted] advised he has no knowledge concerning any FUJI BANK certificates of deposit. He did proceed to talk about Japanese bonds he was asked to broker. At least three times in the interview [redacted] made it clear that there were differences between bonds and certificates of deposit and would disavow any knowledge of FUJI BANK CDs.

b6
b7C

[redacted] appears to be street wise and was defensive during the interview. During the course of the interview [redacted] appeared to be refining his story and continually pressed the interviewing agents for information. It was felt best not to advise him of the consensually monitored telephone call or other telephone contacts, and allow him to give his story.

[redacted]

b6
b7C

If New York wishes a re-interview of [redacted] to ma[redacted] known these facts please advise, and at this time Los Angeles considers this RUC.

2 - New York (Enc. 3)
1 - Los Angeles

[redacted]

b6
b7C

PACK 6
255-10-34



[redacted]

Approved: [redacted] Transmitted [redacted] Per
(Number) (Time)

FD-302 (REV. 3-8-77)



# FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/7/88

[redacted] was contacted at his residence and advised of the official identity of the interviewing agents and the purpose of the interview. Present during the interview was [redacted] who stated she wanted to be present as a witness. b6 b7C

[redacted] stated he has never been involved with FUJI BANK Certificate of Deposits (CDs), that he is a broker dealing in commodities, such as metals, oil, and currency. He has never offered as a broker to sell CDs and has never been asked to move CDs. b6 b7C

[redacted] did advise that approximately one year ago he was involved with Japanese bonds that he believes were issued by the top five Japanese banks. [redacted] stated there is a difference between bonds and CDs, and these Japanese bonds are something entirely different than FUJI BANK CDs, which he knows nothing about.

[redacted] stated he never had seen the Japanese bonds and does not believe he ever received any copy of the bonds. [redacted] stated in his role as a broker he made initial contacts to see if anyone was interested in purchasing bonds, but never called any brokerage firms and said he had bonds to sell, he only called to determine if there was any interest on their part in purchasing the bonds through another party. [redacted] described his role as a go-between. b6 b7C

[redacted] stated that he spoke to several brokerage firms, including SHEARSON, E. F. HUTTON, and PRUDENTIAL BACHE. He may have spoke to a [redacted] or an [redacted] with SHEARSON'S COMMERCIAL TRADING DEPARTMENT. [redacted] advised that no one expressed any interest in the bonds, so he dropped it. In addition, [redacted] stated the bonds were never verified, which would have precluded any sale. b6 b7C

[redacted] advised that he believes the bonds were to be verified through an affiliate bank in the United States, of the DIACHI KONGO BANK, but the bonds were never verified and his part of the transaction was finished. b6 b7C

[redacted] advised he once had a facsimile machine and may have facsimiled the cost and amount of the bonds to someone, but

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Investigation on 3/31/88 at Huntington Beach, California File # LA 255A-5

by SA [redacted] SA [redacted] Date dictated 4/1/88 b6 b7C

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b6
b7C

he never had any serial numbers, cusip numbers, or copies of the bonds to send, and further stated, anyone in the bond field knows you must have cusip numbers and serial numbers to verify the bonds.

[redacted] advised he learned about the Japanese bonds when he was approached by a broker named [redacted] named GALLEON INVESTMENTS, located in Sarasota, Florida, (813) 366-9573. [redacted] informed him he had contacts in Europe with these bonds. [redacted] stated he recalls there was something like three trillion dollars in bonds and the minimum purchase price of a bond was one hundred million dollars. [redacted] advised his commission on such a sale would have been around five hundred million dollars.

b6
b7C

[redacted] advised he questioned the legitimacy and did not really believe the bonds existed to that high of an amount and he requested verification of the bonds from [redacted] who never provided it.

b6
b7C

[redacted] advised that [redacted] has brought him numerous projects and a vast array of financial instrument deals in the past which have wasted a lot of his [redacted] time because the transactions never turned out to be valid. [redacted] explained what he meant by valid was that the transaction never materialized or could not get consummated. [redacted] stated because of these reasons he does not do business with [redacted] or others associated with the Japanese bond deal.

b6
b7C

[redacted] believed a former address for [redacted] is [redacted]

b6
b7C

[redacted] stated that one individual he contacted concerning the Japanese bonds was a [redacted] located in the state of Washington, [redacted] then had contacted a potential buyer named [redacted] who was the [redacted] of FIRST AMERICAN BANK, in Columbus, Ohio, [redacted]

b6
b7C

[redacted] stated an associate of [redacted] also contacted him concerning the bonds, named [redacted] located in Tampa, Florida, [redacted]

b6
b7C

b6
b7C

[redacted] advised he had no idea who had possession of the bonds.

[redacted] stated if someone had expressed an interest in acquiring the Japanese bonds, he would have then set up an outline for the sale, introduced the parties, and collected his finder's fee, and if anyone would have breached the deal the transaction would not materialize.

[redacted] again advised that bonds and CDs are two different items, but could now recall that [redacted] and a [redacted] were involved with some CDs that he believed to be on an American bank, not the FUJI BANK. [redacted] advised he did not touch the transaction because it sounded like a bogus transaction and it was very confusing as to the details of the transaction. [redacted] explained the details had to do with where one party had the CDs and another party was going to verify them, and yet another party was going to do something else. [redacted] stated he never contacted anyone concerning these CDs.

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[redacted] advised he could not recall anyone with the last name of [redacted]

b6
b7C

[redacted] also advised at the present time he has an application for a commodities license pending.

[redacted] reiterated that the Japanese bonds associated with the DIACHI KONGO BANK and the CDs from FUJI BANK are two different transactions, and the CDs from FUJI BANK have nothing to do with him.

At the conclusion of the interview, [redacted] advised she acted as a secretary and assistant to [redacted] and had never contacted anyone concerning the Japanese bonds or any FUJI BANK CDs. [redacted] stated she may have answered the telephone and taken a message for [redacted] but that would be the extent of it. She did advise she was aware of the Japanese bond transaction and that it fizzled out, but did not understand.

b6
b7C

The following biographical data was obtained through observation and interview concerning [redacted]

b6
b7C

FD-302a (Rev. 11-15-83)

LA 255A-5

Continuation of FD-302 of [redacted], On 3/31/88, Page 4*

b6
b7C

Name
Address

Telephone
Employment
Business Name

Business Telephone
Social Security
Account Number
Date of Birth
Place of Birth
Height
Weight
Hair
Eyes
Arrests

[redacted]

b6
b7C

The following biographical data was obtained through observation and interview concerning [redacted]

b6
b7C

Name
Maiden Name
Date of Birth
Place of Birth
Social Security
Account Number
Height
Weight
Hair
Eyes

[redacted]

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 4/18/88

TO: ADIC, NEW YORK (255A-10)

FROM: SAC, HOUSTON (255A-3) (RUC)

UNSUBS, aka
[redacted]
POSSIBLE COUNTERFEITTING OF
FUJI BANK LTD. CD's;
CSCS;
FRAUD BY WIRE
OO: NEW YORK

Enclosed for New York is an original and one copy of an FD 302 with [redacted] original interview notes and correspondence from [redacted]

On March 28, 1988, [redacted] Broker, SHEARSON LEHMAN (SL), Houston, Texas advised that he is familiar with [redacted] however not with [redacted] stated that AL CORLESS MORTGAGE BROKERAGE COMPANY, telephone number 714-261-2826 has more information than he does about the FUJI Certificates of Deposits.

[redacted] stated that [redacted] was a referral from a subsidiary of SL called Boston Safe Deposit, Boston,

[redacted]

[redacted] New York (Enc. 4)
1 - Houston
[redacted] (3)

[redacted]

b6
b7C
b7D

Approved: [redacted] Transmitted _____ (Number) _____ (Time) Per _____

Massachusetts. BSD is a private bank in Boston that caters to wealthy people and trust accounts.

[redacted] wanted SHEARSON & LEHMAN (SL) to buy the $10 billion of certificates of deposit at 90¢ on the dollar, which [redacted] stated if were legitimate were undervalued at the time which was July of 1987. b6 b7C

[redacted] was told by [redacted] of the BSD, that BRADY opened an account as a walk-in with a bank draft drawn on a Carribean bank. [redacted] furnished an address to Mc MANUS of BSD of Grapevine, Beverly Farms, Massachusetts. b6 b7C

On March 28, 1986, it was determined that [redacted] is not located in credit services of MBANK and never has been. The switchboard at MBANK had no listing for anyone by the name of [redacted] b6 b7C

It was determined that there is a listing in the current Houston telephone directory for CREDIT SERVICES; however, the name [redacted] was unfamiliar to the people at CREDIT SERVICES.

FD-302 (REV. 3-10-82)

# FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/18/88

[redacted]

telephone number [redacted] was advised of the identity of Special Agent (SA) [redacted] of the Federal Bureau of Investigation (FBI) orally and by display of credentials. [redacted] was advised that the purpose of the interview concerned [redacted] advised as follows:

b6
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b7D

[redacted]

b6
b7C
b7D

1,2
4

Investigation on 3/31/88 at [redacted] File # HO 255A-3

b6
b7C
b7D

by SA [redacted] Date dictated 4/5/88

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

26 Federal Plaza
New York, New York 10278
May 19, 1988

Honorable Rudolph W. Giuliani
United States Attorney
Southern District of New York
United States Courthouse Annex
1 St. Andrew's Plaza
New York, New York 10007

Attention: [redacted] Assistant United States Attorney, (AUSA) b6 b7C

Re: [redacted]
Counterfeiting of Fuji Bank Certificates of Deposit

Dear Sir:

The purpose of this letter is to confirm the [redacted] conversation of May 11, 1988 between Special Agent (SA) [redacted] and AUSA [redacted] in which the following information was relate to SA [redacted] b6 b7C

On July 24, 1987, the New York Office received a complaint from [redacted] b6 b7C b7D

[redacted] In Houston, Shearson, Lehman had been contacted by several of it's customers who said an individual by the name [redacted] had offered these Certificates of Deposit for sale. Shearson, Lehman of New York was contacted by [redacted] of California who offered the Certificates of Deposit for sale. b6 b7C

2-Addressee
1-New York (255A-10)
[redacted]

255-10-36
SEARCH [redacted] INDEXED
SERIAL FILED [redacted]
MAY 19 1988
FBI — NEW [redacted]

b6 b7C

Inquiries at New York with Fuji Bank determined that Fuji Bank was offering no such Certificate of Deposit for sale. [redacted] Fuji Bank, said that any such Certificates of Deposit, if offered for sale, would be entirely fraudulent. He also added that as far as he could determine there had not yet been to his knowledge any victims of this fraudulent scheme. b6 b7C

Interviews conducted at the request of the New York Office in Houston, Miami, Los Angeles, Chicago, New York, and the United Kingdom determined that there were no known victims of this scheme to sell the Certificates of Deposit. It was also learned that no Certificates of Deposits had ever been presented to any potential victims.

On 3/31/88, an interview was conducted of [redacted] in [redacted] denied being involved in the sale of the Fuji Bank Certificates of Deposits but did add that approximately one year ago he was making inquiries, on behalf of an associate, for the sale of Japanese bonds, not Certificates of Depost. This transaction, according to [redacted] never materialized. b6 b7C

Subsequent inquiries at the Fuji Bank have still not identified any victims in the New York Division or any other division. Likewise, no one has ever been identified who has seen the Certificates of Deposit.

These facts and circumstances were presented on May 11, 1988, to AUSA [redacted] AUSA [redacted] stated that since there were b6 b7C

no apparent victims in the Southern District of New York and no loss incurred that the Southern District of New York would decline prosecution.

In view of the aforementioned information no further investigation will be conducted by the New York Office.

Very trul yours,

[redacted]
Assistant Director in Charge

b6
b7C

By: [redacted]
Supervisory Special Agent

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: MAY 19 1988

TO : DIRECTOR, FBI

FROM : ADIC, NEW YORK (255A-10) (C-3)

SUBJECT: CHANGED
UNSUB, aka
[redacted] b6 b7C
POSSIBLE COUNTERFEITING OF FUJI BANK,
NEW YORK, NEW YORK,
CERTIFICATES OF DEPOSIT;
CS;
(OO:NY)

Title marked "CHANGED" to include the first name of [redacted] formerly carried as UNSUB, aka FIRST NAME UNKNOWN [redacted] b6 b7C

Referenced New York airtels to the Bureau dated 10/30/87 and 8/21/87.

The New York Office's investigation into this matter has failed to locate any victims in the New York Division or in any other division. Additionally, no one has been located who has ever seen a counterfeit Certificates of Deposit nor has a financial institution suffered a loss. [redacted] b6 b7C

On 5/11/88, this information was presented to AUSA [redacted] Southern District of New York, who declined prosecution in view of the facts that no victims could be located in the Southern District's jurisdiction. In view of the above, the New York Office considers this matter closed. b6 b7C

b6 b7C

DUPLICATE MATERIALS DESTROYED BY [redacted] ON 5/20/88

[redacted] 9/88

CLOSE ON THIS

255A-10-37

SEARCHED [redacted] INDEXED
SERIALIZED FILED
MAY 19 1988
FBI — NEW [redacted]

2-Bureau
(1-New York
[redacted]
(4 [redacted]

[redacted]

b6 b7C

[redacted] b6 b7C

6/21/88

# Memorandum

To : ADIC, NEW YORK (255A-10)(C-3) Date 6/10/88

[redacted] b6 b7C

AC, LOS ANGELES (255A-5)(WCC-3/SARA)(RUC)

Subject : UNSUBS;
[redacted]
POSSIBLE COUNTERFEITTING OF
FUJI BANK LIMITED;
CSCS;
FBW;
OO: New York

Re New York airtel to Los Angeles dated 2/1/88.

For the information of New York, [redacted] Broker, NATIONAL FINANCIAL SERVICE (NFS) [redacted] telephonically contacted the Santa Ana Resident Agency. [redacted] related that he is [redacted] of [redacted] had informed him of this investigation and [redacted] advised that "the certificate of deposit" (CD's), are back on the market. [redacted] said that facsimiles were received by another broker at NFS from [redacted] CALGARY INVESTMENTS, offering blocks of ten million dollars and 25 million dollards of CD's with 14 percent bearer coupons from BANK OF JAPAN. [redacted] repeatedly refused to identify the broker at NFS who received the facsimiles. b6 b7C

[redacted] asserted that he could obtain the facsimiles and was requested to do so and to make arrangements for an interview. [redacted] has not produced the facsimiles and does not return messages left for him at NFS. b6 b7C

No further investigation is being conducted by Los Angeles in this matter due to the reluctance of [redacted] to cooperate. Los Angeles considers this matter RUC. b6 b7C

FN 2 - New York
1 - Los Angeles

[redacted]
(3)

[redacted] b6 b7C

255A-10
SEARCHED INDEXED
SERIALIZED FILED
w o/f C-3
JUN 21 1988
FBI NEW Y

[redacted] b6 b7C

# Memorandum

To : ADIC, NEW YORK (255A-10)(C-3) Date 6/10/88

From : SAC, LOS ANGELES (255A-5)(WCC-3/SARA)(RUC)

Subject : UNSUBS;
[redacted]
POSSIBLE COUNTERFEITTING OF
FUJI BANK LIMITED;
CSCS;
FBW;
OO: New York

b6
b7C

Re New York airtel to Los Angeles dated 2/1/88.

For the information of New York, [redacted] Broker, NATIONAL FINANCIAL SERVICE (NFS) [redacted] telephone [redacted] telephonically contacted the Santa Ana Resident Agency. [redacted] related that he is a long time friend and associate of [redacted] had informed him of this investigation and [redacted] advised that "the certificate of deposit" (CD's), are back on the market. [redacted] said that facsimiles were received by another broker at NFS from [redacted] CALGARY INVESTMENTS, offering blocks of ten million dollars and 25 million dollards of CD's with 14 percent bearer coupons from BANK OF JAPAN. [redacted] repeatedly refused to identify the broker at NFS who received the facsimiles.

b6
b7C

[redacted] asserted that he could obtain the facsimiles and was requested to do so and to make arrangements for an interview. [redacted] has not produced the facsimiles and does not return messages left for him at NFS.

No further investigation is being conducted by Los Angeles in this matter due to the reluctance of [redacted] to cooperate. Los Angeles considers this matter RUC.

b6
b7C

[redacted]
2 - New York
1 - Los Angeles

b6
b7C

[redacted]
(3)

255-10-38

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted]
JUN 21 1988
FBI - NY [redacted]

b6
b7C